08-01789-cgm Doc 21286-11 Filed 03/22/22 Entered 03/22/22 18:09:54 Ex. 7 Pg 1 of 10

EXHIBIT 7

Trade Date Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
8/17/1979 RESERVE OIL & GAS CO PFD CONV \$1.75	\$37.500	\$39.125	\$37.375	In Range	In Range
9/24/1979 AETNA LIFE & CAS CO PFD CONV \$2	\$75.500	No Trades	No Trades	No Trades	No Trades
9/24/1979 COOPER INDS INC PFD SER B CONV \$2.50 9/25/1979 BELCO PET CORP SUB DEB CONV 4.750 10/01/1988	\$208.500 \$117.000	No Trades \$118.000	No Trades \$118.000	No Trades Below	No Trades \$1.000
9/25/1979 BELCO PET CORP SUB DEB CONV 4.750 10/01/1988	\$118.000	\$118.000	\$118.000	In Range	In Range
9/25/1979 TENNECO CORP SUB DEB 6.250 10/01/1992	\$130.000	\$131.000	\$131.000	Below	\$1.000
9/25/1979 WHITE CONS INDS INC SUB DEB CONV 5.500 10/01/1992	\$108.500	\$111.000	\$109.000	Below	\$0.500
9/26/1979 LITTON INDS INC PART PREF CONV	\$50.000	\$52.000	\$52.000	Below	\$2.000
9/27/1979 LITTON INDS INC PART PREF CONV 9/27/1979 LITTON INDS INC PART PREF CONV	\$49.750 \$50.000	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
9/27/1979 LITTON INDS INC PART PREF CONV	\$50.250	No Trades	No Trades	No Trades	No Trades
9/27/1979 LITTON INDS INC PART PREF CONV	\$50.500	No Trades	No Trades	No Trades	No Trades
10/1/1979 BENDIX CORP PFD SER A CONV \$3	\$88.250	No Trades	No Trades	No Trades	No Trades
10/1/1979 GENERAL HOST CORP SUB DEB CONV 11.000 6/15/1988	\$130.500	\$130.500	\$130.500	In Range	In Range
10/1/1979 GENERAL HOST CORP SUB DEB CONV 11.000 6/15/1988	\$131.000	\$130.500	\$130.500	Above	\$0.500
10/1/1979 WALTER JIM CORP 4TH PFD CONV \$1.60 10/1/1979 WALTER JIM CORP 4TH PFD CONV \$1.60	\$36.000 \$36.375	\$35.750 \$35.750	\$35.750 \$35.750	Above Above	\$0.250 \$0.625
10/1/1979 WALTER JIM CORP 4TH PFD CONV \$1.60	\$36.500	\$35.750	\$35.750	Above	\$0.023
10/2/1979 ETHYL CORP 2ND PFD SER A CONV \$2.40	\$66.500	\$65.750	\$65.750	Above	\$0.750
10/2/1979 LUCKY STORES INC SUB DEB CONV 6.750 7/15/2000	\$117.000	\$116.750	\$116.750	Above	\$0.250
10/2/1979 SANTA FE INDS INC SUB DEB CONV 6.250 8/01/1998	\$157.000	\$160.000	\$157.000	In Range	In Range
10/4/1979 REYNOLDS R J INC PFD CONV \$2.25	\$74.750	\$76.750	\$74.375	In Range	In Range
10/9/1979 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 10/10/1979 AMERICAN TEL & TELEG CO PFD CONV \$4	\$40.750 \$55.250	No Trades \$56.500	No Trades \$56.000	No Trades Below	No Trades \$0.750
10/10/1979 INTERNATIONAL TEL & TELEG CORP PFD SER N CONV \$2.25	\$33.230	\$30.300	\$30.000	Below	\$0.730
10/10/1979 TRAVELER'S CORP PFD CONV \$2.00	\$38.750	\$39.500	\$39.500	Below	\$0.750
10/10/1979 WARNER COMMUNICATIONS INC PFD SER D CONV \$1.25	\$101.750	No Trades	No Trades	No Trades	No Trades
10/11/1979 AMERADA HESS CORP PFD CONV \$3.50	\$87.750	\$90.500	\$88.250	Below	\$0.500
10/11/1979 AMERADA HESS CORP PFD CONV \$3.50	\$89.000	\$90.500	\$88.250	In Range	In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50 10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.000 \$130.125	\$130.500 \$130.500	\$129.250 \$129.250	In Range In Range	In Range In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.250	\$130.500	\$129.250	In Range	In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.500	\$130.500	\$129.250	In Range	In Range
10/17/1979 ATLANTIC RICHFIELD CO PFD CONV \$2.80	\$87.750	\$88.750	\$88.000	Below	\$0.250
10/19/1979 BUNKER RAMO CORP PFD CONV 1.50	\$24.000	\$24.000	\$23.500	In Range	In Range
10/19/1979 CHARTER CO WT EXP 09/01/1988	\$31.000	\$32.125	\$30.625	In Range	In Range
10/19/1979 HOUSEHOLD FIN CORP PFD CONV \$2.375 10/19/1979 HOUSEHOLD FIN CORP PFD CONV \$2.375	\$40.500 \$40.750	\$40.875 \$40.875	\$40.875 \$40.875	Below Below	\$0.375 \$0.125
10/19/1979 RELIANCE GROUP INC PFD SER B CONV \$2.20	\$69.500	\$73.000	\$73.000	Below	\$3.500
10/19/1979 RIO GRANDE INDS INC PFD SER A CONV \$0.80	\$15.000	\$15.375	\$15.000	In Range	In Range
10/19/1979 TEXTRON INC PFD CONV \$2.08	\$26.500	\$26.875	\$26.250	In Range	In Range
10/19/1979 UAL INC PFD SER A CONV \$0.40	\$20.625	\$21.000	\$21.000	Below	\$0.375
10/19/1979 UAL INC PFD SER A CONV \$0.40	\$21.000	\$21.000	\$21.000	In Range	In Range
10/22/1979 AMERICAN BRANDS INC PFD CONV \$1.70 10/22/1979 INA CORP PFD SER C CONV \$1.90	\$28.750 \$23.250	\$28.625 \$23.500	\$28.625 \$23.500	Above Below	\$0.125 \$0.250
10/22/1979 TIME INC PFD SER B CONV \$1.505	\$29.875	\$30.500	\$30.000	Below	\$0.230
10/22/1979 TIME INC PFD SER B CONV \$1.5757	\$30.125	\$30.500	\$30.000	In Range	In Range
10/22/1979 TRW INC PREF SER 1 CONV \$4.40	\$78.750	\$79.750	\$78.000	In Range	In Range
10/23/1979 CHAMPION INTL CORP PREF CONV \$1.20	\$22.875	\$22.750	\$22.500	Above	\$0.125
10/24/1979 CITY INVESTING CO PREF SER B CONV \$2.00	\$25.125	\$25.000	\$24.500	Above	\$0.125
10/24/1979 SUN INC PFD CONV \$2.25 10/24/1979 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$58.750 \$27.000	\$59.250 \$26.750	\$58.000 \$26.250	In Range Above	In Range \$0.250
10/24/1979 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$27.125	\$26.750	\$26.250	Above	\$0.230
10/24/1979 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$27.250	\$26.750	\$26.250	Above	\$0.500
10/25/1979 TEXAS GAS TRANSMISSION CORP PREF CONV \$1.50	\$46.000	No Trades	No Trades	No Trades	No Trades
10/26/1979 BENEFICIAL CORP PFD CONV \$5.50	\$113.500	No Trades	No Trades	No Trades	No Trades
10/26/1979 GULF & WESTIN INDS INC PFD SER C CONV \$3.875	\$69.000	\$71.000	\$71.000	Below	\$2.000
10/30/1979 ATLANTIC RICHFIELD CO PREF CONV \$3	\$240.625 \$44.625	\$242.250 \$45.500	\$242.250	Below Below	\$1.625 \$0.125
10/30/1979 RESERVE OIL & GAS CO PFD CONV \$1.75 10/30/1979 REYNOLDS METALS CO 2ND PFD CONV 4.50%	\$44.625 \$63.625	\$45.500 \$65.000	\$44.750 \$65.000	Below	\$0.125 \$1.375
10/30/1979 REYNOLDS METALS CO 2ND PFD CONV 4.50%	\$64.500	\$65.000	\$65.000	Below	\$0.500
10/30/1979 WOOLWORTH F W CO PFD SER A CONV \$2.20	\$37.125	\$37.000	\$36.000	Above	\$0.125
11/6/1979 COASTAL STS GAS CORP PFD SER B CONV \$1.83	\$25.375	\$25.000	\$25.000	Above	\$0.375
11/6/1979 COASTAL STS GAS CORP PFD SER B CONV \$1.83	\$25.500	\$25.000	\$25.000	Above	\$0.500
11/7/1979 AVNET INC PFD SER C CONV 2.50		No Trades	No Trades	No Trades	No Trades
	\$107.000			NI. T. I	
11/7/1979 AVNET INC PFD SER C CONV 2.50	\$107.250	No Trades	No Trades	No Trades	No Trades
11/7/1979 AVNET INC PFD SER C CONV 2.50 11/7/1979 AVNET INC PFD SER C CONV 2.50	\$107.250 \$107.500	No Trades No Trades	No Trades No Trades	No Trades	No Trades No Trades
11/7/1979 AVNET INC PFD SER C CONV 2.50	\$107.250 \$107.500 \$108.000	No Trades	No Trades		No Trades

Trade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
11/7/1979 TRW INC PREF SER 3	CONV \$4.50	\$64.500	No Trades	No Trades	No Trades	No Trades
11/8/1979 FLUOR CORP PFD SEI		\$164.500	No Trades	No Trades	No Trades	No Trades
11/8/1979 FLUOR CORP PFD SEI 11/9/1979 AVCO CORP PFD CON		\$165.000 \$63.000	No Trades \$65.750	No Trades \$62.500	No Trades In Range	No Trades In Range
11/9/1979 COLT INDS INC PFD S		\$34.750	No Trades	No Trades	No Trades	No Trades
11/9/1979 COLT INDS INC PFD S		\$35.000	No Trades	No Trades	No Trades	No Trades
11/9/1979 CONTINENTAL CORP	·	\$54.500	\$53.250	\$53.250	Above	\$1.250
11/9/1979 DART IND INC PFD SI 11/9/1979 DART IND INC PFD SI		\$40.750 \$41.000	\$41.375 \$41.375	\$41.375 \$41.375	Below Below	\$0.625 \$0.375
11/3/1979 DART IND INC FFD SI	•	\$34.625	\$34.625	\$34.625	In Range	In Range
	LAB INC SUB DEB CONV 4.375 11/01/1991	\$116.000	No Trades	No Trades	No Trades	No Trades
	LAB INC SUB DEB CONV 4.375 11/01/1991	\$117.000	No Trades	No Trades	No Trades	No Trades
11/14/1979 GOULD INC PFD CON	V \$1.35 FING CO SUB DEB CONV 4.500 1/01/1986	\$21.375 \$119.000	No Trades \$120.000	No Trades \$120.000	No Trades Below	No Trades \$1.000
	TING CO SUB DEB CONV 4.500 1/01/1986	\$120.000	\$120.000	\$120.000	In Range	In Range
	TING CO SUB DEB CONV 4.500 1/01/1986	\$121.000	\$120.000	\$120.000	Above	\$1.000
11/16/1979 CONOCO INC PFD CO		\$123.000	No Trades	No Trades	No Trades	No Trades
11/19/1979 DART IND INC PFD SI		\$41.000	\$43.750	\$43.750	Below	\$2.750
11/19/1979 LINCOLN NATL CORI 11/19/1979 PETROLEUM & RESO		\$81.250 \$26.125	\$83.000 \$26.625	\$82.000 \$26.125	Below In Range	\$0.750 In Range
11/21/1979 BELCO PET CORP SU		\$119.000	\$123.000	\$123.000	Below	\$4.000
11/21/1979 CITY INVESTING CO		\$107.500	\$108.000	\$108.000	Below	\$0.500
11/21/1979 PENZOIL CO SUB DEI		\$131.000	\$137.000	\$133.000	Below	\$2.000
11/21/1979 WILLIAMS COS PFD S		\$45.250	No Trades	No Trades	No Trades	No Trades
	LP SUB DEB CONV 5.500 1/01/1995 L & TELEG CORP PFD SER H CONV \$4	\$191.000 \$45.750	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
11/23/1979 MONSANTO CO PFD (\$64.750	No Trades	No Trades	No Trades	No Trades
11/11/1980 LINCOLN NATL CORI	·	\$78.875	No Trades	No Trades	No Trades	No Trades
1/5/1981 FEDERAL PAPER BRI		\$39.875	No Trades	No Trades	No Trades	No Trades
1/22/1981 JOHNSON CTLS INC F		\$39.875	No Trades	No Trades	No Trades	No Trades
2/2/1981 ALLEGHANY LUDLU 2/17/1981 LEAR SIEGLER INC P		\$56.625 \$87.375	\$57.500 No Trades	\$57.000 No Trades	Below No Trades	\$0.375 No Trades
2/18/1981 DILLINGHAM CORP F		\$33.125	\$34.000	\$33.750	Below	\$0.625
2/20/1981 AETNA LIFE & CAS C	O PFD CONV \$2	\$67.875	No Trades	No Trades	No Trades	No Trades
2/25/1981 BRISTOL MYERS CO		\$55.875	\$56.250	\$55.750	In Range	In Range
2/25/1981 BRISTOL MYERS CO		\$56.000	\$56.250	\$55.750	In Range	In Range
2/25/1981 GATX CORP PFD CON 2/25/1981 HEINZ H J CO 3RD PF	·	\$46.125 \$36.750	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
2/25/1981 HEINZ H J CO 3RD PF		\$36.875	No Trades	No Trades	No Trades	No Trades
2/25/1981 INSTILCO CORP 2ND	PFD SER A \$1.25	\$25.375	No Trades	No Trades	No Trades	No Trades
2/26/1981 ARMCO INC PFD CON	· ·	\$44.500	\$44.750	\$43.000	In Range	In Range
2/26/1981 LTV CORPORATION I 2/26/1981 LTV CORPORATION I		\$20.000 \$20.250	\$21.500 \$21.500	\$20.000 \$20.000	In Range In Range	In Range
2/26/1981 LTV CORPORATION I		\$20.500	\$21.500	\$20.000	In Range	In Range In Range
2/26/1981 PETROLEUM & RESO		\$35.500	No Trades	No Trades	No Trades	No Trades
2/26/1981 PETROLEUM & RESO		\$35.750	No Trades	No Trades	No Trades	No Trades
2/26/1981 TRW INC PREF SER 3		\$103.500	No Trades	No Trades	No Trades	No Trades
2/27/1981 TRW INC PREF SER 1 2/27/1981 TRW INC PREF SER 1		\$124.000 \$125.500	\$128.250 \$128.250	\$125.500 \$125.500	Below In Range	\$1.500 In Range
2/27/1981 WASHINGTON NATL	• •	\$42.750	\$44.000	\$44.000	Below	\$1.250
3/2/1981 AMERICAN BRANDS		\$36.125	\$35.250	\$35.250	Above	\$0.875
3/2/1981 AMERICAN BRANDS		\$36.250	\$35.250	\$35.250	Above	\$1.000
3/2/1981 AMERICAN BRANDS		\$36.375	\$35.250	\$35.250	Above	\$1.125
3/2/1981 AMERICAN BRANDS 3/2/1981 GENERAL INSTR COF		\$37.000 \$64.125	\$35.250 \$65.000	\$35.250 \$65.000	Above Below	\$1.750 \$0.875
3/2/1981 GENERAL INSTR COF		\$65.000	\$65.000	\$65.000	In Range	In Range
3/3/1981 BRUNSWICK CORP P		\$26.125	\$26.375	\$26.125	In Range	In Range
3/3/1981 HOLIDAY INNS INC S		\$35.625	\$37.000	\$36.750	Below	\$1.125
3/3/1981 LINCOLN NATL CORI 3/3/1981 TOWNER PETE CO W		\$76.000	No Trades	No Trades	No Trades	No Trades In Range
3/3/1981 TOWNER PETE CO W 3/5/1981 CITY INVESTING CO		\$10.250 \$38.500	\$10.750 \$39.000	\$10.250 \$38.500	In Range In Range	In Range In Range
3/5/1981 CITY INVESTING CO	·	\$39.500	\$39.000	\$38.500	Above	\$0.500
3/9/1981 GRACE W R & CO SU		\$164.000	\$166.000	\$166.000	Below	\$2.000
	& TELEG CORP PFD SER H CONV \$4	\$53.625	\$53.125	\$53.125	Above	\$0.500
3/9/1981 JEWEL COS PFD SER	·	\$27.750	\$26.750	\$26.750	Above	\$1.000
3/10/1981 COLT INDS INC PFD S 3/10/1981 COLT INDS INC PFD S		\$110.250 \$111.000	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
3/11/1981 ASHLAND OIL INC SU		\$113.000	\$109.500	\$109.500	Above	\$3.500
3/11/1981 ATLANTIC RICHFIELD		\$364.000	\$368.750	\$363.000	In Range	In Range
3/11/1981 ATLANTIC RICHFIELD	O CO PFD CONV \$3	\$364.500	\$368.750	\$363.000	In Range	In Range

341198 CROWN ZELLERRACH CORP PTD SER A CONY \$4.625 \$33.706 \$53.200 In Range \$31.198 DATINCAM CORP PTD SER CONY \$9.4011999 \$16.7000 \$15.520 In Range \$31.198 PATRICK PTE CO WTS EXTO \$40.01981 \$10.027 \$11.000 \$10.250 In Range \$11.198 PATRICK PTE CO WTS EXTO \$40.01981 \$11.000 \$10.120 In Range \$11.198 PATRICK PTE CO WTS EXTO \$40.01981 \$11.100 \$10.120 In Range \$11.100 \$10.120 In Range \$11.198 PATRICK PTE CO WTS EXTO \$40.01981 \$11.100 \$10.120 In Range \$11.100 \$10.120 In Range \$13.198 PATRICK PTE CO WTS EXTO \$40.01981 \$11.100 \$10.120 In Range \$13.198 PATRICK PTE CO WTS EXTO \$40.01981 \$13.198 PATRICK PTE CO WTS EXTO \$40.01981 PATRICK PTE PATRICK	rade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
STITUTES PATRICK PETE CO WTS EXP 0409/1981 \$11.000 \$11.000 \$10.250 \$10.800 \$10.1981 \$11.100 \$11.000 \$10.250 \$10.11981 \$11.100 \$11.000 \$10.250 \$10.250 \$10.11981 \$11.125 \$11.000 \$10.250 \$10.250 \$10.11981 \$10.1125 \$11.000 \$10.250 \$10.250 \$10.11981 \$10.1125 \$11.000 \$10.25	3/11/1981	CROWN ZELLERBACH CORP PFD SER A CONV \$4.625		\$54.000	\$53.500	In Range	In Range
1911 93 PATRICK PETE COW TS EXP 0469/1981 \$11.000						-	In Range
MILTER PATRICK PETE COWTS EXP 040091981 SILL22 SIL000 SID.250 A forest positions of the pattern of							In Range
311998 ROCKWELL INTL CORP PED SER A CONY \$4.75 \$1270.00 \$4.62.20 \$6.50.00 \$6							In Range \$0.125
19.1319/18 COOPER INDS INC PED CON \$2.90 \$46.290 \$46.290 \$46.290 \$40.000 \$10.6000 \$10.6000 \$10.6000 \$10.6000 \$40.0000 \$10.9000 \$10.6000 \$10.6000 \$40.0000 \$10.9000 \$10.9000 \$10.6000 \$40.0000 \$10.9000 \$10.6000 \$40.0000 \$10.9000 \$10.9000 \$10.9000 \$40.0000 \$10.9000 \$10.9000 \$40.0000 \$10.9000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$10.9000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$40.0000 \$10.9000 \$40.00000 \$40.0000 \$40.0000 \$40.0000 \$40.0000							No Trades
146198 RELIANCE GROUP INC PED SER B CONY \$2.02 \$107.000 \$106.000 Above \$146198 RELIANCE GROUP INC PED SER B CONY \$2.02 \$107.000 \$106.000 \$106.000 Above \$146198 RELIANCE GROUP INC PED SER B CONY \$2.00 \$108.000 \$106.000 \$106.000 Above \$146198 RELIANCE GROUP INC PED SER B CONY \$2.00 \$108.000 \$106.000 \$106.000 Above \$136198 RELIANCE GROUP INC PED SER B CONY \$2.00 \$137.000 \$152.00 \$55.000 \$55.000 \$16.000 Above \$137198 CITAMPION INTL CORP PEDE CONY \$4.60 \$317.000 \$34.250 \$51.000 \$16.000 Above \$137198 CITAMPION INTL CORP PEDE CONY \$1.00 \$26.000 \$317.000 \$0.000 \$10.0							In Range
3161998 RELIANCE GROUP IN CPFD SER B CONY \$2.20 \$107.500 \$106.000 \$106.000 \$Above \$107.500	3/16/1981	INA CORP PFD SER C CONV \$1.90	\$31.500	No Trades	No Trades	No Trades	No Trades
346998 RELIANCE GROUP IN CPTO SER B CONY \$2.02 \$108,000 \$106,000 \$1,000		· · · · · · · · · · · · · · · · · · ·					\$0.750
31/19/19/18 CHAMPION NITL CORP PREF CONV \$2.20 \$31,000 \$316,000 \$300,000 \$300,700 Brange \$317/19/18 GEORGIA PAC CORPORATION PED SER A CONV \$3.400 \$34,250 \$34,250 \$34,000 \$31,700 \$3							\$1.000
3171/981 CHAMPION INTI, CORP PREF CONV \$4.00 \$34.00 \$34.20 \$18.00 \$18.20 \$17.9881 (CHAMPION INTI, CORP PREF CONV \$1.20 \$25.00 \$17.500 \$1.00							\$1.500 \$2.000
31/19/18 CHAMPION INTL CORP PREF CONY \$1.20 \$26.875 No Trades No Trades No Trades \$13/18/1981 CHAMPION INTL CORP PREF CONY \$1.20 \$27.00 No Trades No Trades \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$172.00 No Trades No Trades \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$172.00 No Trades No Trades \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$117.00 No Trades No Trades \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$117.00 S111.750 \$11.750 \$111.750							In Range
MINISTRA CHAMPION NTL CORP PREF CONV \$1.20 S22,00 No Trades No Trades No Trades Ministra Minis							In Range
3181891 NEWMONT MNG CORP PED SER A CONY \$4.50 \$17.200 No Trades No Trades 3181891 NEWMONT MNG CORP PED SER A CONY \$4.50 \$17.000 \$111.500 \$111.000 \$10.000 \$1.000							No Trades
3181981 NEWMONT MNG CORP PED SER A CONY \$4.50 \$137,000 \$173,000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$13.93.75 \$39.125 \$3	3/18/1981	CHAMPION INTL CORP PREF CONV \$1.20	\$27.000	No Trades	No Trades	No Trades	No Trades
3419181 INTERCO INC PED SER D CONV \$7.75 \$111.000 In Range 20201981 FEDERAL PAPER RBD INC PED CONV \$1.20 \$39.375 \$39.125 \$39.125 \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$1.20 \$39.500 \$39.125 \$39.125 \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$1.20 \$39.500 \$39.125 \$39.125 \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$2.40 \$27.625 \$27.875 \$39.125 \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$2.40 \$27.625 \$27.825 \$27.625 \$10.820 \$32.441881 BRUNSWICK CORP PFD SER A CONV \$2.40 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$2							No Trades
32021981 FEDERAL PAPER BRD INC PFD CONV 1.20		·					No Trades
320198 FEDERAL PAPER BRD INC PTD CONV 120 \$93,500 \$96,125 \$80,125 Below \$123498 BRUNSWICK CORP PTD SER A CONV \$2.40 \$27,625 \$27,875 \$27,625 In Range \$234981 BRUNSWICK CORP PTD SER A CONV \$2.40 \$27,625 \$27,875 \$25,600 \$25,600 Below \$234981 MESTERN LINION CORP 2ND PTD CONV 4.09% \$55,570 \$55,600 \$56,000 Below \$2344981 MESTERN LINION CORP 2ND PTD CONV 4.09% \$55,570 \$55,600 \$55,600 Below \$244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$53,000 \$53,500 \$53,500 Below \$244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$33,000 \$35,500 \$53,500 Below \$2244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$33,500 \$35,500 Below \$2244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$2244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$2244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$32591 MINONANTO CO PTD CONV \$3.50 \$118,500 Mortades Mo							In Range
323/1981 OCCIDENTAL PETE CORP PED CONV \$4.00 527.625 527.625 527.625 62.000 62.00							\$0.250 \$0.375
3241981 BRUNSWICK CORP PFD SER A CONV \$2.40 \$27.625 \$52.7655 \$56.600 \$56.000 \$32.41981 WESTERN UNION CORP 2ND PFD CONV 4.90% \$55.605 \$56.600 \$56.000 \$60.000 \$32.41981 WESTERN UNION CORP 2ND PFD CONV 4.90% \$55.505 \$56.000 \$56.000 \$56.000 \$60.000 \$32.41981 WIRELABRATOR RPVE INCP DESER B CONV \$2 \$53.500 \$53.500 \$55.500 \$50.000 \$60.000 \$32.41981 WIRELABRATOR RPVE INCP DESER B CONV \$2 \$53.500 \$53.500 \$53.500 \$53.500 \$52.51981 MONSANTO CO PFD CONV \$2.75 \$32.51981 MONSANTO CO PFD CONV \$2.75 \$32.700 \$0.518.000 \$50.000 \$0.508.000 \$112.41981 WIRELABRATOR RPVE INC PFD SER B CONV \$2 \$32.500 \$53.500 \$53.500 \$53.500 \$112.41981 MONSANTO CO PFD CONV \$3.50 \$118.700 \$0.508.000 \$0.509.000 \$0.509.			****				\$1.625
324198 WESTERN UNION CORP 2ND PTO CONV 4,90% \$55,60\$ \$56,000 \$60,000 Below \$324198 WESTERN UNION CORP 2ND PTO CONV 4,90% \$55,500 \$55,500 \$55,500 \$56,000 \$60,000 \$324198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$53,000 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,24198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,24198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$53,500 \$53,500 \$53,500 \$53,500 \$53,24198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$52,000 \$53,500 \$53,500 \$53,500 \$53,500 \$53,24198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$52,000 \$53,50							In Range
3241/98 WHEELABRATOR FRYE INC PED SER B CONV \$2 \$53,000 \$53,500 \$53,500 \$53,500 \$35,401 \$3241/98 WHEELABRATOR FRYE INC PED SER B CONV \$2 \$53,500 \$			\$55.625	\$56.000	\$56.000		\$0.375
3241/98 WHEELABRATOR FRYE INC PFD SER B CONV \$2 \$33,200 \$53,500 \$53,500 \$53,500 \$35,409 \$32,4198 WHEELABRATOR FRYE INC PFD SER B CONV \$2 \$35,2500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,600 \$53,500	3/24/1981	WESTERN UNION CORP 2ND PFD CONV 4.90%		\$56.000	\$56.000	Below	\$0.250
324/198 WHEELARATOR FRYE INC PED SER B CONV \$2 325/198 INONSANTOC OF DECONV \$2.75 \$827.75 \$827.75 \$827.75 \$827.75 \$818.750 \$819.750 \$8							\$0.500
3251981 MONSANTO CO PFD CONV \$2.75 S82.750 No Trades No Trades No Trades No Trades I1/23/1981 LTV CORPORATION PART PREF CONV \$ET S17.625 No Trades No Trades No Trades No Trades I1/24/1981 AMERADA HESS CORP PFD CONV \$3.50 S119.500 No Trades No Trades No Trades I1/24/1981 MERADA HESS CORP PFD CONV \$3.50 S19.500 No Trades No Trades No Trades I1/24/1981 MEIRADA HESS CORP PFD CONV \$1.70 S39.625 No Trades No Trades No Trades I1/24/1981 MEIRADA HESS CORP PFD CONV \$1.70 S39.625 No Trades No Trades I1/24/1981 MEIRADA HESS CORP PFD CONV \$2.75 S74.000 No Trades No Trades No Trades I1/24/1981 MEIRADA HESS CORP PFD CONV \$2.75 S74.000 No Trades No Trades I1/24/1981 MALTER JIM CORP 4TH PFD CONV \$1.60 S20.75 No Trades No Trades I1/24/1981 MALTER JIM CORP 4TH PFD CONV \$2.08 S38.375 S38.000 Above I1/30/1981 FMC CORP PFD CONV \$2.08 S38.375 S38.000 Manage I1/30/1981 FMC CORP PFD CONV \$2.08 S39.750 S40.000 S39.750 S40.000 S40.0		· · · · · · · · · · · · · · · · · · ·					\$0.250
11/23/1981 LTV_CORPORATION PART PREE CONV SER I S17.625							In Range No Trades
1124/1981 AMERADA HESS CORP PFD CONV \$3.50 \$118.750 No Trades No Trades No Trades 1124/1981 AMERADA HESS CORP PFD CONV \$3.50 \$19.500 No Trades No Trades No Trades 1124/1981 MENZ HI CO 3RD PFD CONV \$1.70 \$39.625 No Trades							No Trades No Trades
11/24/1981 AMERADA HESS CORP PFD CONV \$1.70 \$39.625 No Trades No Trades No Trades 11/24/1981 HEINZ H J CO 3RD PFD CONV \$1.70 \$39.625 No Trades No Trades No Trades 11/24/1981 MONSANTO CO PFD CONV \$2.75 \$74.000 No Trades No Tr							No Trades
11/24/1981 MONSANTO CO PFD CONV \$2.75 S74,000 No Trades No Trades 11/27/1981 WALTER JIM CORP 4TH PFD CONV \$1.60 \$20.750 No Trades No Trades No Trades 11/30/1981 FMC CORP PFD CONV \$2.25 \$33.000 \$32.500 \$32.000 Above 11/30/1981 FMC CORP PFD CONV \$2.08 \$28.375 \$28.375 \$28.800 In Range 12/3/1981 ROLIDAY INIS INC \$30.005 S0.000 S0.							No Trades
11/21/1981 WALTER JIM CORP 4TH PFD CONV \$1.60 \$20,750 No Trades No Trades 11/30/1981 TEXTRON INC PFD CONV \$2.25 \$33.000 \$32.000 Above 11/30/1981 TEXTRON INC PFD CONV \$2.08 \$28.375 \$28.375 \$28.305 Brange 12/3/1981 HOLIDAY INNS INC SUB DEB CONV \$625 04/01/2005 \$141.000 \$137.750 \$137.750 Above 12/3/1981 ROLIDAY INNS INC SUB DEB CONV \$625 04/01/2005 \$141.000 \$137.750 \$137.750 Above 12/3/1981 ROLIDAY INNS INC SUB DEB CONV \$4 \$39.750 \$40.750 \$33.750 In Range 12/3/1981 ROLIDAY INNS INC SUB DEB CONV \$4 \$35.000 No Trades No Trades 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$35.125 Above 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$35.125 Above 12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$26.125 No Trades No Trades 12/11/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.200 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.200 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$60.000 \$	1/24/1981	HEINZ H J CO 3RD PFD CONV \$1.70	\$39.625	No Trades	No Trades	No Trades	No Trades
11/30/1981 FMC CORP PFD CONV \$2.25 S33.000 S32.000 Above 11/30/1981 TEXTRON INC PFD CONV \$2.08 \$28.375 \$28.305 \$28.000 In Range 12/31/1981 HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005 \$141.000 \$131.750 \$137.750 Above 12/71/1981 RCA CORP IST PFD CONV \$4 \$39.750 \$40.750 \$39.750 In Range 12/81/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 \$55.000 No trades 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$35.125 Above 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.625 \$35.125 \$35.125 Above 12/14/1981 UNITED TECHONLOGIES CORP PFD CONV \$3.875 \$52.000 \$53.000 \$52.500 Below 12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$62.125 No trades 12/14/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 WESTERN UNION CORP PFD CONV \$2.20 \$38.500 \$39.125 \$38.500 In Range 12/16/1981 WESTERN UNION CORP PFD CONV \$2.20 \$69.000 \$66.625 Above 12/17/1981 AUGAT INC SUB DEB CONV \$2.509 1/5/2006 \$99.000 \$100.000 \$100.000 Below 12/18/1981 CELANISES CORP SUB DEB CONV \$2.509 1/5/2006 \$99.625 \$99.875 \$99.000 In Range 12/18/1981 CELANISES CORP SUB DEB CONV \$2.506 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2.00 \$86.600 \$36.500 \$36.							No Trades
1730/1981 TEXTRON INC PFD CONV \$2.08 \$28.375 \$28.375 \$28.000 In Range 123/1981 HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005 \$11.000 \$137.750 \$30.000 \$127/1981 RCA CORP IST PFD CONV \$4 \$53.000 \$64.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750							No Trades
12/3/1981 HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005 S31,750 S31,750 S39,750 In Range I2/7/1981 RCA CORP IST PFD CONV \$4 S35,000 No Trades No Trades No Trades No Trades I2/11/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 S55,000 No Trades No Trades No Trades I2/11/1981 LARMCO INC PFD CONV \$2.10 S35,625 S35,125 S35,125 Above I2/14/1981 UNITED TECHONLOGIES CORP PFD CONV \$3.875 S20,000 S53,000 S52,500 Below I2/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 S20,125 No Trades No Trades No Trades I2/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 S61,750 S62,250 S62,250 Below I2/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 S61,750 S62,000 S62,250 S62,250 Below I2/15/1981 MC DERMOTT INC PFD SER A CONV \$2.20 S38,500 S39,125 S38,500 In Range I2/16/1981 WESTERN UNION CORP PFD CONV \$2.20 S38,500 S69,025 S69,000 S68,625 Above I2/17/1981 AUGAT INC SUB DEB CONV \$2.50 9/15/2005 S99,000 S100,000 Below I2/18/1981 CELANESE CORP SUB DEB CONV \$2.50 9/15/2006 S90,025 S90,000 S100,000 Below I2/18/1981 BRISTOL MYERS CO PFD CONV \$2 S55,500 S56,500 S65,500 Below I2/23/1981 BRISTOL MYERS CO PFD CONV \$2 S55,500 S56,500 S65,500 Below I2/23/1981 BRISTOL MYERS CO PFD CONV \$2 S55,500 S65,500 S65,500 Below I2/23/1982 CHICNOTY SUB DEB CONV \$2.50 2/01/1989 S12,5000 No Trades No Trades No Trades I2/23/1982 ENDIX CORP PFD SER A CONV \$2.40 S76,500 S76,500 S76,500 Below I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90 S76,500 S76,500 S76,500 Below I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90 S76,500 S76,500 S76,500 Below I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90 S76,500 S76,500 S76,500 Below I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90 S76,500 S							\$0.500
12/7/1981 RCA CORP 1ST PFD CONV \$4 \$39.750 \$40.750 \$39.750 In Range 12/8/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 \$55.000 No Trades No Trades No Trades 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$		·					In Range \$3.250
12/8/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 \$55.000 No Trades No Trades 12/11/1981 ARMCO INC PFD CONV \$2.10 \$33.625 \$35.625 \$35.125 \$35.000 \$82.000 \$32.000 \$32.000 \$32.000 \$32.000 \$32.000 \$32.000 \$32.11/1981 UNITED TECHONLOGIES CORP PFD CONV \$2.20 \$26.125 No Trades No Trades 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$2.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 MC DERMOTT INC PFD SER A CONV \$2.00 \$33.500 \$33.500 \$39.125 \$38.500 In Range 12/16/1981 MESTERN UNION CORP PFD CONV 4.60% \$69.125 \$69.000 \$68.625 Above 12/17/1981 AUGAT INC SUB DEB CONV \$2.50 6/15/2006 \$99.000 \$100.000 Below 12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$99.000 \$100.000 Below 12/12/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90.255 \$91.000 \$91.000 Below 12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.750 \$56.500 \$86.000 Below 12/29/1981 ETHYL CORP PD SER A CONV \$2.40 \$58.125 No Trades No Trades No Trades \$2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.20 \$91.000 No Trades No Trades \$2/23/1982 BENDIX CORP PFD SER B CONV \$2.40 \$92.000 \$43.000 \$42.000 Above \$2/23/1982 CHRIS CRAFT IND INC PFD SIA & CONV \$2.80 \$92.000 \$43.000 \$42.000 Above \$2/23/1982 CHRIS CRAFT IND INC PFD SIA & CONV \$2.20 \$92.605 \$93.500 \$93.500 \$93.000 Below \$93.500 \$93.000 \$93.000 \$93.000 \$93.000 Below \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.0							In Range
12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$35.125 Below 12/14/1981 UNITED TECHONLOGIES CORP PFD CONV \$3.875 \$52.000 \$53.000 \$52.500 Below 12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$26.125 No Trades No Trades No Trades 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 MC DERMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 MC DERMOST INC PFD SER A CONV \$2.20 \$38.500 \$39.125 \$38.500 In Range 12/16/1981 WESTERN UNION CORP PFD CONV \$2.20 \$38.500 \$69.000 \$69.000 \$68.625 Above 12/17/1981 AUGAT INC SUB DEB CONV \$2.50 9/15/2005 \$99.000 \$100.000 \$100.000 Below 12/18/1981 CELANESE CORP SUB DEB CONV \$7.50 6/15/2006 \$90.250 \$99.000 \$100.000 \$100.000 Below 12/18/1981 CELANESE CORP SUB DEB CONV \$2.206 \$90.625 \$91.000 \$91.000 Below 12/22/1981 BALDWIN UTD CORP PFD CU CONC \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1982 ETBYL CORP \$20 PFD SER A CONV \$2.40 \$58.125 No Trades No Trades No Trades \$2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.50 2/01/1989 \$125.000 No Trades No Trades \$2/23/1982 ERDINIX CORP PFD SER A CONV \$1.80 \$34.000 \$42		·					No Trades
12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$26.125 No Trades No Trades 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$69.020 \$66.25 \$69.000 \$66.625 \$69.020 \$66.250 \$60.220 \$60.200 \$66.250 \$60.200 \$60.00			\$35.625			Above	\$0.500
12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 MC DERMOST MCKESSON INC PFD SER A CONV \$2.20 \$38.500 \$39.125 \$38.500 In Range 12/16/1981 WESTERN UNION CORP PFD CONV 4.60% \$69.125 \$69.000 \$68.625 Above 12/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005 \$99.000 \$100.000 \$100.000 Below 12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$99.250 \$99.875 \$99.000 In Range 12/12/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982 \$24.375 25 25 Below 12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.750 \$56.500 \$56.500 Below 12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$581.25 No Trades No Trades No Trades 2/22/1982 ETSORO PETE CORP SUB DEB CONV 5.250 2/01/1989 \$125.000 No Trades No Trades No Trades 2/23/1982 BRDIX CORP PFD SER A CONV \$2.40 \$77.000 No Trades No Trades No Trades 2/23/1982 BRDIX CORP PFD SER A CONV \$1.80 \$57.000 \$43.000 \$42.000 Above 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades No Trades 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.500 \$56.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.500 \$56.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.500 \$56.500 \$56.500 \$60.000 \$	2/14/1981	UNITED TECHONLOGIES CORP PFD CONV \$3.875	\$52.000	\$53.000	\$52.500	Below	\$0.500
12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 \$80.000 \$12/15/1981 MC DERMOTT INC PFD SER A CONV \$2.20 \$38.500 \$38.500 \$39.125 \$38.500 \$10.000		·					No Trades
12/15/1981 MC DERMOTT INC PFD SER A CONV \$2.20 \$38,500 \$39,125 \$38,500 In Range 12/16/1981 WESTERN UNION CORP PFD CONV 4.60% \$69,125 \$69,000 \$68,625 Above 12/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005 \$99,000 \$100,000 \$100,000 Below 12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$90,250 \$90,875 \$90,000 In Range 12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982 \$24,375 25 25 Below 12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90,625 \$91,000 \$91,000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55,500 \$56,500 \$56,500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55,750 \$56,500 \$56,500 Below 12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$81,25 No Trades No Trades 2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.20 \$125,000 No Trades No Trades 2/23/1982 BENDIX CORP PFD SER B CONV \$3,49% \$92,000 \$43,000 \$42,000 Above 2/23/1982 FOREMOST MCKESSON INC PFD SLA0 \$77,000 <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>\$0.500</td>							\$0.500
12/16/1981 WESTERN UNION CORP PFD CONV 4.60% \$69.125 \$69.000 \$100.000 \$100.000 \$101/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005 \$99.000 \$100.000 \$100.000 \$100.000 \$102/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$99.025 \$99.875 \$90.000 In Range \$12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982 \$24.375 \$25 \$25 \$80.000 \$12/22/1981 BALDWIN UTD CORP PFD CU CONC \$2.06 \$90.625 \$91.000 \$91.000 \$80.000 \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 \$80.000 \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 \$80.000 \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 \$80.000 \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$60.000 \$12/23/1982 BENDIX CORP PFD SER A CONV \$2.40 \$51.2500 \$0.000 \$43.000 \$42.000 \$40.000			** ***				\$0.250 In Panga
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3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below		· · · · · · · · · · · · · · · · · · ·					No Trades
							\$0.375
	3/11/1982	ARCATA CORP PFD SER A CONV \$2.16	\$31.750	No Trades	No Trades	No Trades	No Trades
3/11/1982 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$48.875 \$50.000 \$50.000 Below							\$1.125
3/12/1982 OCCIDENTAL PETE CORP PFD CONV \$3.60 \$61.375 Below	3/12/1982	OCCIDENTAL PETE CORP PFD CONV \$3.60	\$61.250	\$61.375	\$61.375	Below	\$0.125

Frade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
3/15/1982 TIME INC PFD SER B CONV S	S1.575	\$48.375	49	\$48.250	In Range	In Range
3/18/1982 BENDIX CORP PFD SER A CO		\$102.750	No Trades	No Trades	No Trades	No Trades
3/18/1982 EATON CORP PFD CONV 4.7: 3/18/1982 LEAR SIEGLER INC PFD CON		\$41.125 \$56.500	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
3/18/1982 TRW INC PREF SER 3 CONV		\$84.875	\$84.750	\$84.750	Above	No Trades \$0.125
3/18/1982 TRW INC PREF SER 3 CONV		\$85.250	\$84.750	\$84.750	Above	\$0.500
3/18/1982 TRW INC PREF SER 3 CONV		\$85.750	\$84.750	\$84.750	Above	\$1.000
3/19/1982 ROCKWELL INTL CORP PFD	SER B CONV \$1.35	\$49.125	\$48.000	\$48.000	Above	\$1.125
3/19/1982 TRW INC PREF1 CONV \$4.40	D CONTACT TO	\$102.625	No Trades	No Trades	No Trades	No Trades
12/6/1982 AMERICAN BRANDS INC PFI 12/6/1982 TIME INC PFD SER B CONV S		\$42.875 \$67.500	No Trades \$66.500	No Trades \$66,500	No Trades	No Trades \$1.000
12/0/1982 TIME INC PFD SER B CONV S 12/15/1982 EMHART CORP VA PFD CON		\$67.500 \$72.500	No Trades	No Trades	Above No Trades	No Trade
12/15/1982 JAMES RIVER CORP OF VAS		\$101.000	\$103.500	\$99.375	In Range	In Range
12/15/1982 JAMES RIVER CORP OF VA S		\$101.500	\$103.500	\$99.375	In Range	In Range
12/17/1982 INTERCO INC PFD SER D CO	NV \$7.75	\$126.000	\$127.250	\$126.500	Below	\$0.500
12/23/1982 AMERICAN TEL & TELEG CO		\$60.625	\$61.250	\$60.750	Below	\$0.12
2/8/1983 NEWMONT MNG CORP PFD		\$175.750	No Trades	No Trades	No Trades	No Trade
2/23/1983 TIME INC PFD SER B CONV \$		\$67.500	\$66.500	\$66.500	Above	\$1.000
2/23/1983 TIME INC PFD SER B CONV S 2/23/1983 TIME INC PFD SER B CONV S		\$67.750 \$68.000	\$66.500 \$66.500	\$66.500 \$66.500	Above Above	\$1.250 \$1.500
2/23/1983 TIME INC PFD SER B CONV S		\$68.000 \$68.500	\$66.500	\$66.500	Above	\$1.50 \$2.00
2/25/1983 HIME INC PFD SER B CONV S		\$186.000	\$188.875	\$188.000	Below	\$2.00 \$2.00
2/25/1983 MERRILL LYNCH & CO INC		\$187.000	\$188.875	\$188.000	Below	\$1.00
2/25/1983 MERRILL LYNCH & CO INC		\$187.500	\$188.875	\$188.000	Below	\$0.50
2/28/1983 ANACOMP INC SUB DEB CO	NV 9.500 9/01/2000	\$139.000	\$143.500	\$140.000	Below	\$1.000
2/28/1983 ASSOCIATED DRY GOODS C		\$71.500	No Trades	No Trades	No Trades	No Trade
2/28/1983 ROCKWELL INTL CORP PFD	·	\$89.000	\$90.000	\$90.000	Below	\$1.000
2/28/1983 SHELLER GLOBE CORP PFD	CONV \$1.35	\$37.875	\$37.375	\$36.375	Above	\$0.500
3/1/1983 SUN INC PFD CONV \$2.25 3/1/1983 UNION PACIFIC CORP PFD S	ED A CONV \$7.25	\$65.500 \$103.250	\$64.750 \$104.000	\$64.625 \$103.000	Above In Range	\$0.75
3/2/1983 AMERICAN BRANDS INC PF		\$42.750	No Trades	No Trades	No Trades	In Range No Trade
3/2/1983 GULF & WESTN INDS INC PF		\$152.000	\$151.000	\$151.000	Above	\$1.000
3/3/1983 MONSANTO CO PFD CONV \$		\$97.250	No Trades	No Trades	No Trades	No Trade
3/3/1983 MONSANTO CO PFD CONV \$	32.75	\$97.500	No Trades	No Trades	No Trades	No Trade
3/7/1983 FOREMOST MCKESSON INC		\$62.250	No Trades	No Trades	No Trades	No Trade
3/8/1983 FOUR PHASE SYS INC SUB D		\$162.000	No Trades	No Trades	No Trades	No Trade
3/8/1983 FOUR PHASE SYS INC SUB D		\$164.000	No Trades	No Trades	No Trades	No Trade
3/9/1983 ATLANTIC RICHFIELD CO PI 3/9/1983 HOUSEHOLD INTL INC PFD (\$273.500 \$52.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade No Trade
3/9/1983 OGDEN CORP PFD CONV \$1.		\$64.500	No Trades	No Trades	No Trades	No Trade
3/11/1983 FMC CORP PFD CONV \$2.25	073	\$44.875	No Trades	No Trades	No Trades	No Trade
3/11/1983 FMC CORP PFD CONV \$2.25		\$45.000	No Trades	No Trades	No Trades	No Trade
3/11/1983 FMC CORP PFD CONV \$2.25		\$45.250	No Trades	No Trades	No Trades	No Trade
3/11/1983 WASHINGTON NATL CORP I		\$42.750	\$43.000	\$43.000	Below	\$0.250
3/11/1983 WASHINGTON NATL CORP I	• • • •	\$43.000	\$43.000	\$43.000	In Range	In Range
3/11/1983 WASHINGTON NATL CORP F	• • •	\$43.250	\$43.000	\$43.000	Above	\$0.250
3/15/1983 GREYHOUND CORP SUB DE: 3/15/1983 HORN & HARDART CO SUB		\$128.000 \$110.000	\$129.000 \$125.250	\$129.000 \$110.000	Below In Range	\$1.000 In Range
3/18/1983 JOHNSON CTLS INC PFD SER		\$49.500	No Trades	No Trades	No Trades	No Trade
3/18/1983 JOHNSON CTLS INC PFD SER		\$49.625	No Trades	No Trades	No Trades	No Trade
3/18/1983 JOHNSON CTLS INC PFD SEF		\$49.750	No Trades	No Trades	No Trades	No Trade
3/18/1983 ROHR INDS INC PFD SER B C	CONV 3.125	\$38.125	\$37.500	\$35.375	Above	\$0.625
3/18/1983 ROHR INDS INC PFD SER B C		\$38.250	\$37.500	\$35.375	Above	\$0.750
3/18/1983 ROHR INDS INC PFD SER B C		\$38.500	\$37.500	\$35.375	Above	\$1.000
3/23/1983 GULF & WESTN INDS INC PF		\$56.875	\$58.000	\$56.000	In Range	In Rang
3/23/1983 GULF & WESTN INDS INC PF 3/23/1983 TRANS WORLD AIRLS INC S		\$57.375 \$136.000	\$58.000 No Trades	\$56.000 No Trades	In Range No Trades	In Rang No Trade
3/23/1983 TRANS WORLD AIRLS INC S		\$136.500	No Trades	No Trades	No Trades	No Trade
3/23/1983 TRANS WORLD AIRLS INC S		\$137.000	No Trades	No Trades	No Trades	No Trade
3/24/1983 UNION PACIFIC CORP PFD S		\$101.250	\$103.250	\$101.000	In Range	In Rang
7/29/1983 HOUSEHOLD INTL INC PFD (\$59.875	No Trades	No Trades	No Trades	No Trade
8/17/1983 ETHYL CORP 2ND PFD SER A		\$130.250	No Trades	No Trades	No Trades	No Trade
8/26/1983 AMERADA HESS CORP PFD (\$138.500	No Trades	No Trades	No Trades	No Trade
8/29/1983 AMERADA HESS CORP PFD (\$138.500	\$140.000	\$140.000	Below	\$1.50
8/31/1983 INTERNATIONAL HARVESTI		\$33.000	\$33.000	\$32.500	In Range	In Range
9/8/1983 INTERCO INC PFD SER D CO		\$150.500 \$151.000	No Trades	No Trades	No Trades	No Trade
9/8/1983 INTERCO INC PFD SER D CO 9/8/1983 INTERCO INC PFD SER D CO		\$151.000 \$152.000	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade No Trade
9/8/1983 INTERCO INC PFD SER D CO		\$152.500 \$152.500	No Trades No Trades	No Trades	No Trades	No Trade
		Ψ102.000			- 10 - 114400	o IIudo

Trade Date Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
9/8/1983 SHELLER GLOBE CORP \$ 3 CV PFD	\$108.000	No Trades	No Trades	No Trades	No Trades
9/8/1983 TOTAL PETE NORTH AMER LTD WTS EXP 6/30/86	\$4.000	\$4.125	\$3.875	In Range	In Range
9/9/1983 SOUTHMARK CORP PFD SER A CONV \$1 9/12/1983 PENNWALT CORP PREF CONV \$2.50	\$12.000 \$59.625	\$12.250 No Trades	\$12.000 No Trades	In Range No Trades	In Range No Trades
9/12/1963 PENNWALT CORP PREF CONV \$2.50 9/12/1983 PENNWALT CORP PREF CONV \$2.50	\$60.000	No Trades	No Trades	No Trades	No Trades
9/14/1983 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$100.750	No Trades	No Trades	No Trades	No Trades
9/14/1983 WASHINGTON NATL CORP PFD CONV \$2.50	\$51.250	\$51.000	\$48.500	Above	\$0.250
9/16/1983 UNITED TECHNOLOGIES CORP PFD CONV \$3.875	\$85.000	\$85.750	\$85.750	Below	\$0.750
9/16/1983 UNITED TECHNOLOGIES CORP PFD CONV \$3.875	\$85.250	\$85.750	\$85.750	Below	\$0.500
9/19/1983 BRISTOL MYERS CO PFD CONV \$2 9/21/1983 ATLANTIC RICHFIELD CO PFD CONV \$ 2.80	\$82.750 \$113.250	No Trades \$115.875	No Trades \$114.000	No Trades Below	No Trades \$0.750
9/21/1983 HOUSEHOLD INTL INC PFD CONV \$ 2.80	\$113.230 \$46.375	\$46.250	\$46.000	Above	\$0.730
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$26.750	\$27.875	\$27.500	Below	\$0.750
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$26.875	\$27.875	\$27.500	Below	\$0.625
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$27.000	\$27.875	\$27.500	Below	\$0.500
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$27.125	\$27.875	\$27.500	Below	\$0.37
11/29/1983 TRW INC PREF SER 1 CONV \$4.40	\$172.250	\$171.000	\$171.000	Above	\$1.250
11/29/1983 TRW INC PREF SER 1 CONV \$4.40 12/2/1983 BRISTOL MYERS CO PFD CONV \$2	\$172.625 \$89.250	\$171.000 No Trades	\$171.000 No Trades	Above No Trades	\$1.625 No Trade
12/5/1983 HOUSEHOLD INTL INC PFD CONV \$2.50	\$46.500	\$46.000	\$45.750	Above	\$0.500
12/9/1983 AMERADA HESS CORP PFD CONV \$2.50	\$114.250	\$114.000	\$113.500	Above	\$0.250
12/15/1983 AVCO CORP PFD CONV \$3.20	\$62.750	\$64.625	\$64.500	Below	\$1.750
12/16/1983 MONSANTO CO PFD CONV \$2.75	\$113.500	No Trades	No Trades	No Trades	No Trades
12/16/1983 MONSANTO CO PFD CONV \$2.75	\$113.750	No Trades	No Trades	No Trades	No Trades
12/16/1983 MONSANTO CO PFD CONV \$2.75	\$113.875	No Trades	No Trades	No Trades	No Trades
12/19/1983 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$92.750	\$98.500	\$98.000	Below	\$5.250
12/22/1983 ETHYL CORP 2ND PFD SER A CONV \$2.40 12/22/1983 FMC CORP PFD CONV \$2.25	\$133.000 \$56.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
12/22/1983 FMC CORP PFD CONV \$2.25	\$57.000	No Trades	No Trades	No Trades	No Trades
12/22/1983 FMC CORP PFD CONV \$2.25	\$57.500 \$57.500	No Trades	No Trades	No Trades	No Trades
2/23/1984 BRISTOL MYERS CO PFD CONV \$2	\$86.000	No Trades	No Trades	No Trades	No Trades
2/23/1984 BRISTOL MYERS CO PFD CONV \$2	\$88.000	No Trades	No Trades	No Trades	No Trades
2/23/1984 BRISTOL MYERS CO PFD CONV \$2	\$89.500	No Trades	No Trades	No Trades	No Trades
2/29/1984 ROCKWELL INTL CORP PFD SER A CONV \$4.75	\$254.250	No Trades	No Trades	No Trades	No Trades
3/1/1984 ETHYL CORP 2ND PFD SER A CONV \$2.40	\$111.250	No Trades	No Trades	No Trades	No Trades
3/1/1984 UNITED STATES GYPSUM CO PFD CONV \$1.80 3/1/1984 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$48.125 \$48.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
3/1/1984 VIACOM INTL INC PFD CONV \$1.80	\$31.500	\$30.250	\$30.250	Above	\$1.250
3/5/1984 AMERADA HESS CORP PFD CONV \$3.50	\$138.500	\$137.500	\$137.500	Above	\$1.000
3/5/1984 OCCIDENTAL PETE CORP PFD CONV \$4	\$85.875	No Trades	No Trades	No Trades	No Trades
3/7/1984 ROCKWELL INTL CORP PFD SER A CONV \$4.75	\$93.250	No Trades	No Trades	No Trades	No Trades
3/7/1984 ROCKWELL INTL CORP PFD SER B CONV \$1.35	\$93.250	\$93.000	\$93.000	Above	\$0.250
3/8/1984 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$83.375	\$84.000	\$81.250	In Range	In Range
3/8/1984 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$84.000	\$84.000	\$81.250	In Range	In Range
3/8/1984 FMC CORP PFD CONV \$2.25 3/8/1984 FMC CORP PFD CONV \$2.25	\$53.250 \$53.375	\$53.375 \$53.375	\$53.375 \$53.375	Below In Range	\$0.125 In Range
3/8/1984 FMC CORP PFD CONV \$2.25	\$53.625	\$53.375	\$53.375	Above	\$0.250
3/8/1984 FMC CORP PFD CONV \$2.25	\$53.875	\$53.375	\$53.375	Above	\$0.500
3/12/1984 BELL & HOWELL CO PFD SER A CONV	\$20.500	\$20.625	\$20.000	In Range	In Range
3/12/1984 BELL & HOWELL CO PFD SER A CONV	\$20.625	\$20.625	\$20.000	In Range	In Range
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$114.750	No Trades	No Trades	No Trades	No Trades
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$115.000	No Trades	No Trades	No Trades	No Trades
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$115.500 \$115.750	No Trades	No Trades	No Trades	No Trades
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20 3/15/1984 LEAR SIEGLER INC PFD CONV \$2.25	\$113.730 \$100.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
3/19/1984 BEATRICE FOODS CO PREF SER A CONV \$3.38	\$57.250	\$58.500	\$57.750	Below	\$0.500
3/21/1984 SUN INC PFD CONV \$2.25	\$104.750	\$109.000	\$103.500	In Range	In Range
3/21/1984 SUN INC PFD CONV \$2.25	\$107.000	\$109.000	\$103.500	In Range	In Range
3/22/1984 INTERCO INC PFD SER D CONV \$7.75	\$123.000	\$123.000	\$123.000	In Range	In Rang
3/23/1984 HOUSEHOLD INTL INC PFD CONV \$2.375	\$56.750	No Trades	No Trades	No Trades	No Trade
3/23/1984 HOUSEHOLD INTL INC PFD CONV \$2.375	\$57.750	No Trades	No Trades	No Trades	No Trade
3/23/1984 WAL-MART STORES INC PFG SER A CONV 8% 7/11/1984 INTERNATIONAL HARVESTER CORRESER A CONV \$3	\$72.000 \$24.500	No Trades	No Trades	No Trades	No Trade
7/11/1984 INTERNATIONAL HARVESTER CO PREF SER A CONV \$3 7/31/1984 PITNEY BOWES INC PREF CONV \$2.12	\$24.500 \$57.125	No Trades \$56.250	No Trades \$56.000	No Trades Above	No Trade \$0.87
8/17/1984 KIDDE INC PREF SER C CONV \$4	\$73.000	\$73.000	\$73.000	In Range	In Rang
8/27/1984 WAL-MART STORES INC PFD SER A CONV 8%	\$92.375	No Trades	No Trades	No Trades	No Trade
8/27/1984 WAL-MART STORES INC PFD SER A CONV 8%	\$93.500	No Trades	No Trades	No Trades	No Trades
		No Trades	No Trades	No Trades	No Trade
8/28/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$141.000	NO Trades	No Trades	No Trades	NO Trade
8/28/1984 WACHOVIA CORP PFD SER A CONV \$2.20 8/28/1984 WASHINGTON NATL CORP PFD CONV \$2.50 8/28/1984 WASHINGTON NATL CORP PFD CONV \$2.50	\$35.125 \$35.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade

Frade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
8/28/1984 WASHINGTON NAT	TL CORP PFD CONV \$2.50	\$35.375	No Trades	No Trades	No Trades	No Trades
8/29/1984 ROCKWELL INTL C		\$105.000	\$108.250	\$108.000	Below	\$3.000
8/30/1984 SHELLER GLOBE C		\$69.375	No Trades	No Trades	No Trades	No Trades
9/4/1984 ROCKWELL INTL C		\$105.000	No Trades	No Trades	No Trades	No Trades
9/5/1984 TRW INC PREF SER 9/11/1984 HOUSEHOLD INTL		\$156.875 \$48.500	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
9/11/1984 NOUSENOLD INTE		\$124.750	No Trades	No Trades	No Trades	No Trade
9/12/1984 BRISTOL MYERS C		\$99.125	No Trades	No Trades	No Trades	No Trade
9/17/1984 HOUSEHOLD INTL		\$48.500	\$49.750	\$49.750	Below	\$1.250
9/20/1984 INTERCO INC PFD :	SER D CONV \$7.75	\$123.250	\$124.000	\$124.000	Below	\$0.750
9/20/1984 INTERCO INC PFD 5		\$123.500	\$124.000	\$124.000	Below	\$0.500
9/20/1984 INTERCO INC PFD S		\$123.750	\$124.000	\$124.000	Below	\$0.250
9/20/1984 INTERCO INC PFD 3		\$124.000	\$124.000	\$124.000	In Range	In Range
9/20/1984 INTERCO INC PFD S		\$125.625	\$124.000 No Trades	\$124.000 No Trades	Above No Trades	\$1.625
11/16/1984 SHELLER GLOBE C 11/29/1984 HOUSEHOLD INTL		\$86.500 \$72.625	\$72.000	\$72.000	Above	No Trade: \$0.62:
11/30/1984 AVCO CORP PFD C		\$93.250	\$93.500	\$91.250	In Range	In Range
11/30/1984 AVCO CORP PFD C		\$93.375	\$93.500	\$91.250	In Range	In Range
11/30/1984 BRISTOL MYERS C		\$101.000	No Trades	No Trades	No Trades	No Trade
11/30/1984 BRISTOL MYERS C	O PFD CONV \$2	\$101.125	No Trades	No Trades	No Trades	No Trade
11/30/1984 BRISTOL MYERS C	O PFD CONV \$2	\$101.250	No Trades	No Trades	No Trades	No Trade
11/30/1984 BRISTOL MYERS C		\$101.375	No Trades	No Trades	No Trades	No Trade
11/30/1984 BRISTOL MYERS C		\$101.625	No Trades	No Trades	No Trades	No Trade
11/30/1984 BRISTOL MYERS C		\$101.750	No Trades	No Trades	No Trades	No Trade
12/5/1984 OWENS ILL INC PR	·	\$115.250	No Trades No Trades	No Trades	No Trades	No Trade
12/5/1984 OWENS ILL INC PR 12/5/1984 OWENS ILL INC PR		\$115.375 \$115.625	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
12/5/1984 OWENS ILL INC PR	· · · · · · · · · · · · · · · · · · ·	\$116.125	No Trades	No Trades	No Trades	No Trades
12/10/1984 BEATRICE FOODS (\$54.250	\$54.750	\$54.000	In Range	In Range
12/10/1984 BEATRICE FOODS		\$54.375	\$54.750	\$54.000	In Range	In Range
12/10/1984 BELL & HOWELL C		\$22.500	\$22.500	\$22.250	In Range	In Range
12/10/1984 BELL & HOWELL C	O PFD SER A CONV	\$22.625	\$22.500	\$22.250	Above	\$0.125
12/10/1984 BELL & HOWELL C	O PFD SER A CONV	\$22.750	\$22.500	\$22.250	Above	\$0.250
12/10/1984 BELL & HOWELL C		\$22.875	\$22.500	\$22.250	Above	\$0.375
12/11/1984 INTERCO INC PFD 5		\$126.500	No Trades	No Trades	No Trades	No Trades
12/17/1984 TRW INC PREF SER		\$128.375	No Trades	No Trades	No Trades	No Trade
12/17/1984 UNITED STATES GY	·	\$52.375	\$53.000	\$51.000	In Range	In Range
12/19/1984 ATLANTIC RICHFIE 12/19/1984 ATLANTIC RICHFIE		\$102.875 \$103.375	\$105.000 \$105.000	\$102.500 \$102.500	In Range In Range	In Range In Range
12/20/1984 INTL HARVESTER (\$30.750	\$31.500	\$30.625	In Range	In Range
2/25/1985 BELL & HOWELL C		\$28.875	\$28.750	\$28.750	Above	\$0.125
2/25/1985 I C INDS INC 2ND P		\$96.125	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND P	PFD SER 1 CONV \$3.50	\$96.250	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND P	PFD SER 1 CONV \$3.50	\$96.375	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND P	FD SER 1 CONV \$3.50	\$96.500	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND P	PFD SER 1 CONV \$3.50	\$96.750	\$97.750	\$95.500	In Range	In Range
3/5/1985 USLIFE CORP PFD S		\$32.875	\$33.125	\$32.500	In Range	In Range
3/5/1985 USLIFE CORP PFD S		\$33.125	\$33.125	\$32.500	In Range	In Range
3/7/1985 INTL HARVESTER (ON INC SUB DEB CONV 11.000 7/01/2007	\$38.750 \$131.000	\$39.000 \$132.500	\$38.750 \$131.000	In Range In Range	In Range In Range
	ON INC SUB DEB CONV 11.000 7/01/2007	\$131.125	\$132.500	\$131.000	In Range	In Range
	A CORP PFD CONV EXCHANGEABLE \$4.875	\$73.000	\$75.750	\$73.000	In Range	In Range
	GOODS CORP PFD SER A CONV \$4.75	\$88.250	\$90.500	\$90.500	Below	\$2.250
	GOODS CORP PFD SER A CONV \$4.75	\$88.375	\$90.500	\$90.500	Below	\$2.125
3/18/1985 ASSOCIATED DRY	GOODS CORP PFD SER A CONV \$4.75	\$88.625	\$90.500	\$90.500	Below	\$1.875
3/18/1985 ASSOCIATED DRY	GOODS CORP PFD SER A CONV \$4.75	\$88.750	\$90.500	\$90.500	Below	\$1.750
3/19/1985 KATY INDS INC PF	D SER B CONV \$1.46	\$95.750	\$99.500	\$90.000	In Range	In Range
	ICATIONS INC SUB DEB CONV 8.500 12/31/2005	\$175.000	\$179.000	\$170.000	In Range	In Range
3/25/1985 LEAR SIEGLER INC	• • •	\$115.625	\$116.250	\$116.250	Below	\$0.62
6/24/1985 BOEING CO SUB DI		\$152.500	\$153.125	\$152.500	In Range	In Rang
6/25/1985 CLUETT PEABODY		\$21.750 \$21.750	\$21.500	\$21.500	Above In Panga	\$0.250 In Pana
6/26/1985 CLUETT PEABODY		\$21.750 \$82.625	\$21.750 \$81.500	\$21.500 \$81.500	In Range Above	In Rang \$1.12
6/27/1985 FMC CORP PFD CO: 6/27/1985 FMC CORP PFD CO:		\$82.625 \$83.250	\$81.500 \$81.500	\$81.500 \$81.500	Above	\$1.12 \$1.75
6/28/1985 OWENS ILL INC PFI		\$83.230 \$138.125	\$139.500	\$139.500	Below	\$1.75
6/28/1985 OWENS ILL INC PFI		\$138.250	\$139.500	\$139.500	Below	\$1.37
7/1/1985 FMC CORP PFD CO		\$82.625	\$85.000	\$85.000	Below	\$2.37
7/2/1985 BELL & HOWELL C		\$31.750	No Trades	No Trades	No Trades	No Trade
7/2/1985 INTERCO INC PFD S		\$140.250	No Trades	No Trades	No Trades	No Trade
7/2/1985 INTERCO INC PFD S	SER D CONV \$7.75	\$140.375	No Trades	No Trades	No Trades	No Trade

Trade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
7/2/1985 INTERCO INC 1	PFD SER D CONV \$7.75	\$140.625	No Trades	No Trades	No Trades	No Trades
7/5/1985 BRISTOL MYEI		\$131.875	No Trades	No Trades	No Trades	No Trades
7/8/1985 BRISTOL MYE 7/8/1985 BRISTOL MYE		\$131.500 \$131.875	\$129.000 \$129.000	\$129.000	Above Above	\$2.500
	AL HARVESTER CO JR PREF SER D CONV \$0	\$25.000	\$25.875	\$129.000 \$25.000	In Range	\$2.875 In Range
	AL HARVESTER CO JR PREF SER D CONV \$0	\$25.250	\$25.875	\$25.000	In Range	In Range
	TTRELL INC SUB DEB CONV 10.500 10/31/2006	\$136.250	\$137.500	\$137.500	Below	\$1.250
	ES GYPSUM CO PFD CONV \$1.80	\$75.000	No Trades	No Trades	No Trades	No Trades
	AIRLS CO SUB DEB CONV 10.000 6/15/2007	\$154.000	\$156.000	\$156.000	Below	\$2.000
7/15/1985 SUN INC PFD C 7/15/1985 SUN INC PFD C		\$99.250 \$99.375	\$100.000 \$100.000	\$100.000 \$100.000	Below Below	\$0.750 \$0.625
7/15/1985 SUN INC PFD C	· · ·	\$99.625	\$100.000	\$100.000	Below	\$0.023
7/15/1985 SUN INC PFD C		\$100.500	\$100.000	\$100.000	Above	\$0.500
7/15/1985 TRW INC PREF		\$166.000	No Trades	No Trades	No Trades	No Trades
7/15/1985 TRW INC PREF	·	\$166.125	No Trades	No Trades	No Trades	No Trades
7/15/1985 TRW INC PREF		\$166.500	No Trades	No Trades	No Trades	No Trades
7/15/1985 TRW INC PREF		\$167.500	No Trades	No Trades	No Trades	No Trades
7/24/1985 TEXTRON INC	AL HARVESTER CO PREF SER A CONV \$3 SUB DEB 7.750.6/15/2005	\$34.375 \$111.000	\$37.000 \$112.000	\$35.000 \$111.500	Below Below	\$0.625 \$0.500
11/22/1985 BRISTOL MYE		\$133.500	No Trades	No Trades	No Trades	No Trades
11/22/1985 TRW INC PREF		\$142.125	No Trades	No Trades	No Trades	No Trades
	ORP SUB DEB CONV 8.500 1/15/2008	\$114.000	\$116.750	\$116.750	Below	\$2.750
	ORP SUB DEB CONV 8.500 1/15/2008	\$114.200	\$116.750	\$116.750	Below	\$2.550
	ORP SUB DEB CONV 8.500 1/15/2008	\$114.425	\$116.750	\$116.750	Below	\$2.325
	PRESS COMPANY WTS EXP 02/28/1987	\$42.125	\$44.750	\$41.625	In Range	In Range
12/4/1985 AMERICAN EX 12/12/1985 FMC CORP PFI	PRESS COMPANY WTS EXP 02/28/1987	\$42.625 \$85,000	\$44.750 \$85,500	\$41.625 \$85.000	In Range In Range	In Range In Range
12/13/1985 AMERICAN GE	· · · · · · · · · · · · · · · · · · ·	\$65.625	\$66.625	\$65.500	In Range	In Range
12/17/1985 HOUSEHOLD II		\$62.500	No Trades	No Trades	No Trades	No Trades
2/26/1986 CSX CORP PFD		\$205.000	No Trades	No Trades	No Trades	No Trades
3/3/1986 AMERICAN GE	N CORP PFD 2.64 CV	\$79.000	\$81.000	\$79.000	In Range	In Range
	TL GROUP INC PRD CL B CONV \$5.85	\$200.500	207	207	Below	\$6.500
3/5/1986 OWENS ILL IN	·	\$187.500	No Trades	No Trades	No Trades	No Trades
	HFIELD CO PFD CONV \$2.80 TL CORP PREF CONV \$1.20	\$120.500 \$28.125	\$120.000 \$29.250	\$120.000 \$28.875	Above Below	\$0.500 \$0.750
3/11/1986 CHAMPION IN 3/11/1986 SUN INC PFD C		\$100.750	\$101.500	\$100.000	In Range	In Range
	NTL INC PFD CONV \$2.375	\$101.000	No Trades	No Trades	No Trades	No Trades
	PMENT CORP CONV SUB DEB 8.000 9/01/2009	\$131.250	\$137.500	\$136.000	Below	\$4.750
3/21/1986 DIGITAL EQUI	PMENT CORP CONV SUB DEB 8.000 9/01/2009	\$131.337	\$137.500	\$136.000	Below	\$4.663
	PMENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.000	\$137.500	\$136.000	Below	\$4.000
	PMENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.325	\$137.500	\$136.000	Below	\$3.675
	PMENT CORP CONV SUB DEB 8.000 9/01/2009 PMENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.662 \$132.987	\$137.500 \$137.500	\$136.000 \$136.000	Below Below	\$3.338 \$3.013
	PMENT CORP CONV SUB DEB 8.000 9/01/2009	\$133.975	\$137.500	\$136.000	Below	\$2.025
,	IN CORP DEB CONV 11.00 2/8/2007	\$205.000	\$215.000	\$209.250	Below	\$4.250
10/16/1986 AMERICAN GE	N CORP DEB CONV 11.00 2/8/2007	\$205.000	\$215.875	\$212.000	Below	\$7.000
11/15/1986 WOOLWORTH	F W CO PFD SER A CONV \$2.20	\$120.750	No Trades	No Trades	No Trades	No Trades
11/16/1986 CSX CORP PFD		\$167.125	No Trades	No Trades	No Trades	No Trades
	R INC PFD SER A CONV \$1.30	\$29.750	No Trades	No Trades	No Trades	No Trades
11/18/1986 CSX CORP PFD	ES GYPSUM CO PFD CONV \$1.80	\$141.250 \$167.125	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
	ES GYPSUM CO PFD CONV \$1.80	\$141.250	No Trades	No Trades	No Trades	No Trades
	F W CO PFD SER A CONV \$2.20	\$120.375	123	\$122.500	Below	\$2.125
11/18/1986 WOOLWORTH	F W CO PFD SER A CONV \$2.20	\$120.500	123	\$122.500	Below	\$2.000
11/18/1986 WOOLWORTH	F W CO PFD SER A CONV \$2.20	\$120.750	123	\$122.500	Below	\$1.750
	F W CO PFD SER A CONV \$2.20	\$121.125	123	\$122.500	Below	\$1.375
11/19/1986 BRISTOL MYE		\$157.875	No Trades	No Trades	No Trades	No Trades
11/19/1986 CSX CORP PFD		\$167.125 \$405.250	No Trades	No Trades	No Trades	No Trades No Trades
11/19/1986 ROCKWELL IN 11/19/1986 SUN INC PFD C	TL CORP PFD SER A CONV \$4.75 CONV \$2.25	\$405.250 \$113.750	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
11/19/1986 WALTER JIM C		\$77.625	No Trades	No Trades	No Trades	No Trades
	F W CO PFD SER A CONV \$2.20	\$120.500	\$120.000	\$120.000	Above	\$0.500
11/20/1986 EATON CORP I		\$288.500	No Trades	No Trades	No Trades	No Trades
11/20/1986 INTERCO INC I		\$185.375	No Trades	No Trades	No Trades	No Trades
12/15/1986 BELL & HOWE		\$35.750	\$36.125	\$35.750	In Range	In Range
12/15/1986 BELL & HOWE		\$35.875	\$36.125	\$35.750	In Range	In Range
	W YORK CORP PFD CONV \$1.875	\$42.750 \$42.875	\$43.000 \$43.000	\$43.000	Below	\$0.250 \$0.125
12/15/1986 CHEMICAL NE 12/15/1986 OWENS ILL IN	W YORK CORP PFD CONV \$1.875	\$42.875 \$297.375	\$43.000 \$296.000	\$43.000 \$296.000	Below Above	\$0.125 \$1.375
12/13/1980 OWENS III INI						

Γrade Date	Security Name	IA Business Purported	Daily High ³	Daily Low ³	Above/Below	Magnitud
12/19/1096 OLINIC	ORP SUB DEB CONV 8.750 6/01/2008	Price ² \$110.000	No Trades	No Trades	No Trades	No Trad
	CAN GEN CORP DEB CONV 11.00 2/8/2007	\$202.000	No Trades	No Trades	No Trades	No Trac
	CAN GEN CORP DEB CONV 11.00 2/8/2007	\$202.375	No Trades	No Trades	No Trades	No Trac
	CAN GEN CORP DEB CONV 11.00 2/8/2007	\$202.750	No Trades	No Trades	No Trades	No Trac
12/19/1986 AMERIC	CAN GEN CORP DEB CONV 11.00 2/8/2007	\$203.875	No Trades	No Trades	No Trades	No Trac
	A CORP DEPOSITARY PFD SER C CONV	\$31.750	\$32.000	\$31.750	In Range	In Rar
	AN & BROAD CONV PFD B \$8.75	\$115.625	\$113.500	\$112.000	Above	\$2.1
	GROUP INC SR SUB DEB CONV 8.750 9/15/2009 DNT AVIATION INC PFD CONV EXCHANGEABLE \$3.25	\$138.000	\$148.000	\$137.000 \$67.625	In Range	In Rai
	SER BUSCH COS INC PFD CONV \$3.60 SER A	\$67.750 \$134.750	\$67.875 \$137.125	\$135.375	In Range Below	In Rai \$0.6
	SER BUSCH COS INC PFD CONV \$3.60 SER A	\$136.000	\$137.125	\$135.375	In Range	In Rai
	CONTAINER CORP PFD SER C CONV EXB \$3.50	\$79.000	\$80.000	\$79.000	In Range	In Ra
3/24/1987 TRANS	CO COMPANIES INC CUM CONV PFD 3.875 SERIES	\$59.750	\$61.000	\$59.250	In Range	In Ra
	CO COMPANIES INC CUM CONV PFD 3.875 SERIES	\$59.875	\$61.000	\$59.250	In Range	In Ra
	CO COMPANIES INC CUM CONV PFD 3.875 SERIES	\$60.000	\$61.000	\$59.250	In Range	In Ra
	CCH CAP CORP \$3 CONV PFD	\$55.250	\$55.000	\$53.000	Above	\$0.2
	L MYERS CO PFD CONV \$2 L MYERS CO PFD CONV \$2	\$201.625 \$201.750	\$203.000 \$203.000	\$202.250 \$202.250	Below Below	\$0.6 \$0.5
	CORP PFD SER B CONV \$10	\$344.500	No Trades	No Trades	No Trades	No Tra
	CORP PFD SER B CONV \$10	\$345.750	No Trades	No Trades	No Trades	No Tra
	LLAN INC SUB DEB CONV 7.500 7/01/2010	\$121.000	\$128.750	\$128.750	Below	\$7.
5/20/1987 ANHEU	SER BUSCH COS INC PFD CONV \$3.60 SER A	\$116.000	\$119.375	\$115.500	In Range	In Ra
5/22/1987 UNISYS	CORP SUB DEB CONV 7.250 8/01/2010	\$141.000	\$144.500	\$143.000	Below	\$2.
	HOWELL CO PFD SER A CONV	\$65.750	\$66.000	\$65.000	In Range	In Ra
	O INC PFD SER D CONV \$7.75	\$133.750	No Trades	No Trades	No Trades	No Tra
	C PFD CONV \$2.25	\$100.500	No Trades	No Trades	No Trades	No Tra
	VORTH F W CO PFD SER A CONV \$2.20 O INC PFD SER D CONV \$7.75	\$112.375 \$176.250	\$112.000 No Trades	\$112.000 No Trades	Above No Trades	\$0 No Tra
	O INC PFD SER D CONV \$7.75 O INC PFD SER D CONV \$7.75	\$176.230	No Trades	No Trades	No Trades	No Tra
	O INC PFD SER D CONV \$7.75	\$170.373	No Trades	No Trades	No Trades	No Tra
	C PFD CONV \$2.25	\$117.625	No Trades	No Trades	No Trades	No Tra
	OOD CO DEB CONV 9.000 10/15/1999	\$275.000	No Trades	No Trades	No Trades	No Tra
3/22/1988 KELLW	OOD CO DEB CONV 9.000 10/15/1999	\$279.000	No Trades	No Trades	No Trades	No Tra
	E & COOKE INC PFD CONV \$0.90	\$24.500	\$25.250	\$24.500	In Range	In Ra
	E & COOKE INC PFD CONV \$0.90	\$24.625	\$25.250	\$24.500	In Range	In Ra
	E & COOKE INC PFD CONV \$0.90	\$24.750	\$25.250	\$24.500	In Range	In Ra
	E & COOKE INC PFD CONV \$0.90 SON CORP SUB DEB CONV 9.750 3/15/2006	\$25.000 \$141.000	\$25.500 No Trades	\$24.875 No Trades	In Range No Trades	In Ra No Tra
	TCH CORP PFD SER B CONV EX \$3.75	\$63.375	No Trades	No Trades	No Trades	No Tra
	DODGE CORP PFD CV EXP DEP \$3.00	\$73.250	\$73.750	\$73.000	In Range	In Ra
	ER COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625	\$79.000	\$80.125	\$78.750	In Range	In Ra
3/17/1989 WARNE	ER COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625	\$79.250	\$80.125	\$78.750	In Range	In Ra
3/17/1989 WARNI	R COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625	\$79.750	\$80.125	\$78.750	In Range	In Ra
	R COMMUNICATIONS INC PED SER A CONV EXCH \$3.625	\$77.500	\$77.875	\$77.500	In Range	In Ra
	M A CO PFD CONV EXCHANGEABLE \$ 2.125	\$35.375	\$37.000	\$35.250	In Range	In Ra
6/5/1989 VISTA		\$20.875	\$22.250	\$20.750	In Range	In Ra
6/5/1989 VISTA (6/5/1989 VISTA (\$21.000 \$21.375	\$22.250 \$22.250	\$20.750 \$20.750	In Range In Range	In Ra In Ra
6/5/1989 VISTA (\$21.573	\$22.250	\$20.750	In Range	In Ra
6/12/1989 VISTA		\$22.250	\$22.375	\$22.250	In Range	In Ra
	CO INC PFD A 1.875	\$36.625	\$37.250	\$33.750	In Range	In Ra
11/20/1989 AMERIC	CAN BRANDS INC PFD CONV \$2.67	\$139.375	No Trades	No Trades	No Trades	No Tra
12/4/1989 FLEET/	NORSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	\$126.500	No Trades	No Trades	No Trades	No Tra
	NORSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	\$126.750	No Trades	No Trades	No Trades	No Tra
	NORSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	\$128.250	No Trades	No Trades	No Trades	No Tra
	TIC RICHFIELD CO PFD CONV \$3	\$751.000	No Trades	No Trades	No Trades	No Tra
	TIC RICHFIELD CO PFD CONV \$3	\$751.625 \$752.000	No Trades	No Trades	No Trades	No Tra
	TIC RICHFIELD CO PFD CONV \$3 RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$752.000 \$134.500	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Tra No Tra
	RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$134.750	No Trades	No Trades	No Trades	No Tra
	RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$135.000	No Trades	No Trades	No Trades	No Tra
	RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$135.500	No Trades	No Trades	No Trades	No Tra
	RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$139.000	No Trades	No Trades	No Trades	No Tra
	LIDATED EDISON CO N Y INC PREF SER B CONV 6%	\$356.000	No Trades	No Trades	No Trades	No Tra
	LIDATED EDISON CO N Y INC PREF SER B CONV 6%	\$356.375	No Trades	No Trades	No Trades	No Tra
	LIDATED EDISON CO N Y INC PREF SER B CONV 6%	\$357.250	No Trades	No Trades	No Trades	No Tra
	CORP 2ND PFD SER A CONV \$2.40	\$563.500	No Trades	No Trades	No Trades	No Tra
	SON CORP SUB DEB CONV 9.750 3/15/2006 [ATIONAL MINERALS & CHEM SERIES B CONV EXCL PFD \$3.25	\$163.000 \$70.500	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Tra No Tra
2.20.1770 HTTER	MOTT INC DEB 10.000 4/01/2003	\$96.750	No Trades	No Trades	No Trades	No Tra

Trade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
3/5/1990 MCDERMC	OTT INTL INC WT EXP 04/01/1990	\$2.625	\$2.875	\$2.500	In Range	In Range
3/12/1990 MCDERMC	OTT INTL INC WT EXP 04/01/1990	\$3.500	\$3.750	\$3.375	In Range	In Range
3/12/1990 MCDERMC	OTT INTL INC WT EXP 04/01/1990	\$3.625	\$3.750	\$3.375	In Range	In Range
3/13/1990 MCDERMC	OTT INC DEB 10.000 4/01/2003	\$96.250	No Trades	No Trades	No Trades	No Trades
3/20/1990 ITT CORP I	PFD SER N CONV \$2.25	\$66.250	No Trades	No Trades	No Trades	No Trades
3/21/1990 PFIZER INC	C SUB DEB CONV 8.750 2/15/2006	\$203.750	No Trades	No Trades	No Trades	No Trades
10/2/1990 ATLANTIC	RICHFIELD CO PFD CONV \$ 2.80	\$313.500	\$317.000	\$315.000	Below	\$1.500
10/5/1990 ATLANTIC	RICHFIELD CO PFD CONV \$ 2.80	\$316.250	No Trades	No Trades	No Trades	No Trades
10/5/1990 ATLANTIC	RICHFIELD CO PFD CONV \$ 2.80	\$318.000	No Trades	No Trades	No Trades	No Trades
10/17/1990 TEXTRON	INC PFD CONV \$2.08	\$43.125	No Trades	No Trades	No Trades	No Trades
10/17/1990 TEXTRON	INC PFD CONV DIV STK SER B \$1.40	\$34.875	No Trades	No Trades	No Trades	No Trades
10/19/1990 TEXTRON	INC PFD CONV \$2.08	\$45.375	No Trades	No Trades	No Trades	No Trades
12/14/1990 ATLANTIC	RICHFIELD CO PFD CONV \$2.80	\$291.125	\$293.750	\$293.750	Below	\$2.625
12/14/1990 ATLANTIC	RICHFIELD CO PFD CONV \$2.80	\$291.250	\$293.750	\$293.750	Below	\$2.500
12/14/1990 ATLANTIC	RICHFIELD CO PFD CONV \$2.80	\$292.250	\$293.750	\$293.750	Below	\$1.500
12/18/1990 FEDERAL N	NATIONAL MTG ASSN WT EXP 2/25/91	\$18.875	\$20.500	\$18.625	In Range	In Range
11/19/1991 ATLANTIC	RICHFIELD CO PFD CONV \$2.80	\$266.625	No Trades	No Trades	No Trades	No Trades
2/25/1992 LEGGETT 8	& PLATT INC SUB DEB CONV 6.500 4/01/2006	\$112.500	No Trades	No Trades	No Trades	No Trades
2/26/1992 LEGGETT 8	& PLATT INC SUB DEB CONV 6.500 4/01/2006	\$112.625	No Trades	No Trades	No Trades	No Trades
2/26/1992 TYCO TOY	S INC WTS EXP 6/07/1993	\$20.250	\$22.250	\$19.750	In Range	In Range
11/24/1992 XTRA COR	P PFD SER B CONV \$1.9375	\$36.750	\$38.000	\$36.500	In Range	In Range
12/14/1992 AMERICAN	N BRANDS INC PFD CONV \$2.67	\$169.500	No Trades	No Trades	No Trades	No Trades

^{1.} The Monthly Time Period consists of the following months: October 1979, November 1979, every March and December from 1981-1992, and randomly selected months, other than March and December, between 1983 and 1992.

^{2.} IA Business Purported Price as shown on the IA Business customer ledgers.

^{3.} Daily High and Daily Low as reported by the New York Stock Exchange Daily Stock Records, Over the Counter Exchange Daily Stock Records, American Stock Exchange Daily Stock Record, Wall Street Journal New York Exchange Bonds, Moody's Industrial Manuals and Moody's Bank and Financial Manual.